

PERIODIC ELECTROSTATIC FOCUSING OF A BEAM OF ELLIPTICAL CROSS SECTION

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ABSTRACT: An exact analytic solution has been given [1] for the shaping of a flat beam with a nonmonotonic potential variation at the boundary. It has been shown that construction of a periodic focusing system amounts to calculation of the equipotentials in a part with a potential distribution symmetric about the center and to coupling of two such elements via sufficiently thick screening grids whose charge density varies in a definite way. Here I derive a simple analytic solution for the shaping of a beam of elliptical cross section by approximating the potential at the boundary via a quadratic parabola [2]. The result for high eccentricity may serve as a model for edge effects in flat beams of finite width.

We use the elliptical cylindrical coordinates ξ, η, z of Fig. 1, which are related to the Cartesian coordinates x, y, z by

$$\begin{aligned} x &= a \sqrt{\beta-1} \operatorname{sh} \xi \sin \eta, & y &= a \sqrt{\beta-1} \operatorname{ch} \xi \cos \eta, \\ z &= z. \end{aligned} \tag{1}$$

Let $\xi_0 \leq \xi < \infty, 0 \leq \eta \leq 2\pi$ be the Laplace region of the boundary ellipse $\xi = \xi_0, \beta = (b/a)^2$ whose semiminor axis is a . The problem is to solve

$$\begin{aligned} \partial^2 \varphi / \partial \xi^2 + \partial^2 \varphi / \partial \eta^2 + a^2 (\beta-1) \times \\ \times (\operatorname{sh}^2 \xi + \sin^2 \eta) \partial^2 \varphi / \partial z^2 = 0, \end{aligned} \tag{2}$$

which satisfies the following conditions at the boundary $\xi = \xi_0$:

$$\begin{aligned} \varphi &= \varphi_0(z) = \alpha + (1-\alpha)z, \\ (z/\sigma - 1)^2 &= \alpha + (1-\alpha)z^2, \\ \partial \varphi / \partial \xi &= \varphi_1 = 0. \end{aligned} \tag{3}$$

Here α is the minimum potential $\alpha \leq \varphi \leq 1$ in the range $0 \leq z \leq 2\sigma, \sigma = (1 + 2\alpha^{1/2}) / (1 - \alpha)^{1/2}$. Dimensionless variables [1] will be used to find the solution as a series in $(\xi - \xi_0)$ with coefficients dependent on η and z :

$$\varphi = \varphi_k (\xi - \xi_0)^k \quad (k = 0, 1, \dots), \tag{4}$$

for which purpose the expression before $\partial^2 \varphi / \partial z^2$ in (2) is put in analogous form:

$$a^2 (\beta-1) (\operatorname{sh}^2 \xi + \sin^2 \eta) = \gamma_k (\xi - \xi_0)^k \quad (k = 0, 1, \dots). \tag{5}$$

We get for the γ_k that

$$\begin{aligned} \gamma_0 &= \gamma_0(\eta) = a^2 (\beta-1) (\operatorname{sh}^2 \xi_0 + \sin^2 \eta), \\ \gamma_{2k-1} &= a^2 (\beta-1) \operatorname{sh} 2\xi_0 \frac{2^{2k-2}}{(2k-1)!} = \text{const}, \\ \gamma_{2k} &= a^2 (\beta-1) \operatorname{ch} 2\xi_0 \frac{2^{2k-1}}{(2k)!} = \text{const}, \\ &(k = 1, 2, \dots). \end{aligned} \tag{6}$$

Since $\tanh^2 \xi_0 = 1/\beta$, we get the final formulas

$$\begin{aligned} \gamma_0 &= a^2 H(\eta) = 1/2 a^2 [(\beta+1) + (\beta-1) \cos 2\eta], \\ \gamma_{2k-1} &= a^2 \sqrt{\beta} \frac{2^{2k-1}}{(2k-1)!}, \quad \gamma_{2k} = a^2 (\beta+1) \frac{2^{2k-1}}{(2k)!}. \end{aligned} \tag{7}$$

Substitution of (4) and (5) into (2) gives us recurrent relations for the φ_k :

$$\begin{aligned} s(s+1) \varphi_{s+1} + (\varphi_{s-1})_{\eta}'' + \sum_{k=0}^{s-1} \gamma_k (\varphi_{s-k-1})_z'' = 0 \\ (s = 1, 2, \dots). \end{aligned} \tag{8}$$

Use of (8) gives

$$\begin{aligned} (s=1) \quad \varphi_2 &= -1/2 \gamma_0 \varphi_0'', \\ (s=2) \quad \varphi_3 &= -1/6 \gamma_1 \varphi_0'', \\ (s=3) \quad \varphi_4 &= -1/12 (-1/2 \gamma_0'' + \gamma_2) \varphi_0'', \\ (s=4) \quad \varphi_5 &= -1/20 \gamma_3 \varphi_0'', \\ (s=5) \quad \varphi_6 &= -1/30 (1/24 \gamma_0^{IV} + \gamma_4) \varphi_0'', \\ (s=6) \quad \varphi_7 &= -1/42 \gamma_5 \varphi_0'', \\ (s=7) \quad \varphi_8 &= -1/56 (-1/720 \gamma_0^{IV} + \gamma_6) \varphi_0'', \\ (s=8) \quad \varphi_9 &= -1/72 \gamma_7 \varphi_0''. \end{aligned} \tag{9}$$

In general, it is readily seen that

$$\begin{aligned} \varphi_{2k+1} &= -\frac{\gamma_{2k-1}}{2k(2k+1)} \varphi_0'' = -a^2 \sqrt{\beta} \frac{2^{2k-1}}{(2k+1)!} \varphi_0'', \\ &(k = 1, 2, \dots), \\ \varphi_{2k} &= -\frac{1}{(2k-1) \cdot 2k} \left[(-1)^{k-1} \frac{\gamma_0^{(2k-2)}}{(2k-2)!} + \gamma_{2k-2} \right] \varphi_0'' = \\ &= -\frac{2^{2k-2}}{(2k)!} \gamma_0(\eta) \varphi_0'' \quad (k = 2, 3, \dots). \end{aligned} \tag{10}$$

We substitute (3) and (10) into (4) and sum the series to get the following expression for the potential in the region exterior to the beam:

$$\begin{aligned} \varphi &= \alpha + (1-\alpha) Z^2 - 1/2 a^2 \sigma^{-2} (1-\alpha) \{ \sqrt{\beta} (\operatorname{sh} 2\xi - 2\xi) + \\ &+ [(\beta+1) - (\beta-1) \cos 2\eta] (\operatorname{ch} 2\xi - 1) \}, \quad \xi = \xi - \xi_0. \end{aligned} \tag{11}$$

We use the semiminor axis of the ellipse as the characteristic linear dimension in the plane of ξ and η . With $\beta = H(\eta) = a = 1$, (11) defines the potential for a cylindrical beam, $R = 1$:

$$\varphi = \alpha + (1-\alpha) Z^2 - 1/2 \sigma^{-2} (1-\alpha) (R^2 - 2 \ln R - 1). \tag{12}$$

This is a further form of approximate analytic solution, which differs from one previously considered [3]. Figures 2 and 3 give curves derived from intersection of the surfaces $\varphi = \text{const}$,

$$\begin{aligned} Z &= \left\{ \frac{\varphi - \alpha}{1 - \alpha} + \frac{1}{2\sigma^2} \left[\sqrt{\beta} (\operatorname{sh} 2\xi - 2\xi) + \right. \right. \\ &\left. \left. + H(\eta) (\operatorname{ch} 2\xi - 1) \right] \right\}^{1/2}, \end{aligned} \tag{13}$$

with the half-planes $\psi = \text{const}$ for various values of α and β . In constructing these curves it is convenient to use formulas relating ξ and η to the polar coordinates R and ψ :

$$\begin{aligned} \xi &= 1/2 \ln (\sqrt{\beta} + 1)^{-2} \{ R^2 + \\ &+ \sqrt{2R} (\cos \psi [\sqrt{R^2 - 2(\beta-1) R^2 \cos 2\psi + (\beta-1)^2} + \\ &+ R^2 \cos 2\psi - (\beta-1)]^{1/2} + \sin \psi \times \\ &\times [\sqrt{R^2 - 2(\beta-1) R^2 \cos 2\psi + (\beta-1)^2} - R^2 \cos 2\psi + \\ &- (\beta-1)]^{1/2} + \sqrt{R^2 - 2(\beta-1) R^2 \cos 2\psi + (\beta-1)^2} \}, \\ \eta &= \left\{ \sqrt{2R} \sin \psi + \right. \\ &+ [\sqrt{R^2 - 2(\beta-1) R^2 \cos 2\psi + (\beta-1)^2} - \\ &- R^2 \cos 2\psi + (\beta-1)]^{1/2} \left. \right\} \sqrt{2R} \cos \psi. \end{aligned}$$

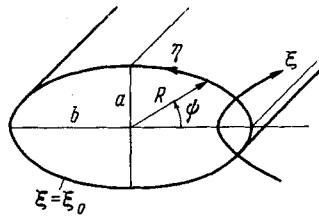


Fig. 1

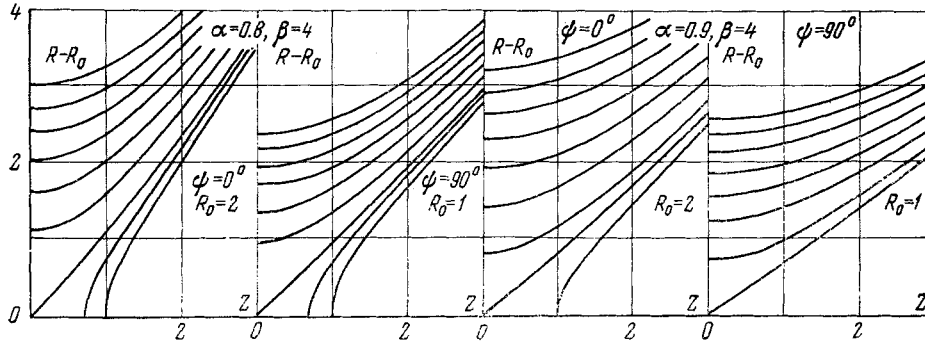


Fig. 2

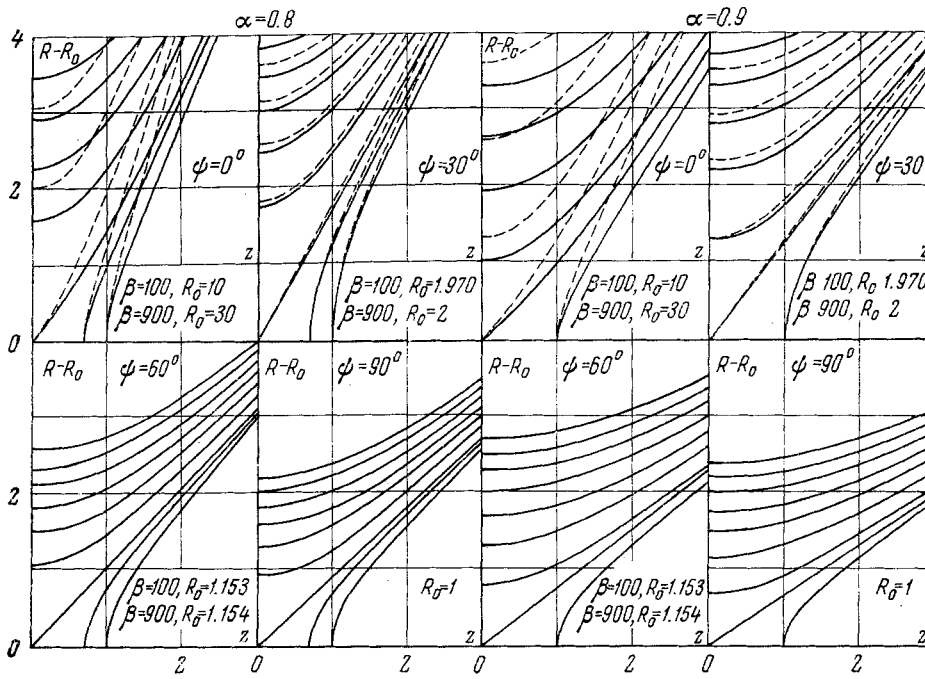


Fig. 3

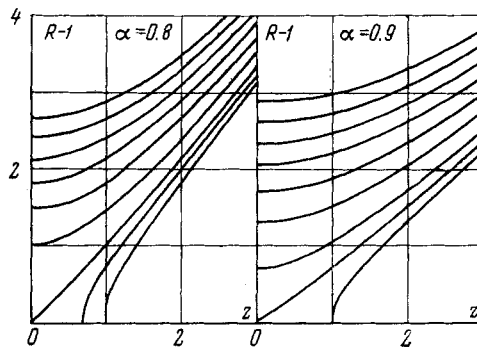


Fig. 4

$$+ \left[\sqrt{R^4 - 2(\beta - 1)R^2 \cos 2\psi + (\beta - 1)^2} + \right. \\ \left. + R^2 \cos 2\psi - (\beta - 1) \right]^{1/2} \}^{-1},$$

and also the equation of the boundary ellipse in these coordinates:

$$R_0 = \sqrt{\beta} [1 + (\beta - 1) \sin^2 \psi]^{-1/2}.$$

The curves from right to left correspond to $\varphi = 1, 0.9, 0.8$, and then with a step of 0.2. The solid lines in Fig. 3 are for $\beta = 100$, while the dashed ones are for $\beta = 900$. The difference between these two families of equipotential surfaces is small for $\psi = 30^\circ$, while it is virtually zero for ψ of 60 and 90°.

Figure 4 shows surfaces of rotation $\varphi = \text{const}$ calculated from (12). The screening grids must have a two-dimensional potential distribution for an elliptical beam; the dependence $\varphi(R, \psi)$ is easily deduced from Figs. 2 and 3.

It is to be expected that the deviation of (11) from the exact solution will be of the same order as in the planar case [1], especially where the curvature of the boundary is small.

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